



Member: Philippine Stock Exchange  
 8/F Herco Center  
 114 Benavidez St., Legaspi Village  
 Makati City  
 818-7736

Trading Floor : 8487301  
 Operations Hotline : 3766116

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**CUSTOMER ACCOUNT INFORMATION FORM**

ACCOUNT NAME \_\_\_\_\_

ACCOUNT CODE \_\_\_\_\_

Individual

Corporate

Joint

*Corporate Clients pls. use reverse side*

	ACCOUNT HOLDER 1	ACCOUNT HOLDER 2
Last Name		
First Name		
Middle Name		
Gender		
Civil Status		
Name of Spouse (if applicable)		
Date of Birth (MMDDYY)		
Citizenship		
Residential Address		
Delivery Address (if different)		
Contact No.		
Email Address		
TIN/SSS/GSIS		
ID Presented		
Occupation		
Employer's Name		
Office Tel.		
Are you an Officer or Director of PSE-listed company?		
If yes, which one?		
Are you an Officer or Employee of another broker-dealer?		
If yes, which one?		
Investment Objective	[ ] Speculation [ ] Growth [ ] Preservation of Capital [ ] Long-Term Investment	[ ] Speculation [ ] Growth [ ] Preservation of Capital [ ] Long-Term Investment
Sources of Funds	[ ] Salary [ ] Business [ ] Investments [ ] Others: _____	[ ] Salary [ ] Business [ ] Investments [ ] Others: _____

Corporate Clients, please use this side. Individual Clients, please use the reverse side.

Corporate Name	
Principal Office Address	
Tel. No.	
Fax	
Email	
Date of Incorporation	
SEC Registration No.	
TIN	
Nationality	<input type="checkbox"/> Local <input type="checkbox"/> Foreign
Tax Status	<input type="checkbox"/> VAT <input type="checkbox"/> Non- VAT <input type="checkbox"/> Tax-Exempt <input type="checkbox"/> Zero-rated
Authority to sign:	<input type="checkbox"/> Any One <input type="checkbox"/> Any Two <input type="checkbox"/> Any Three
Signatory #1 Name	
Signatory #1 Designation	
Specimen Signature	
Signatory #2 Name	
Signatory #2 Designation	
Specimen Signature	
Signatory #3 Name	
Signatory #3 Designation	
Specimen Signature	
<p><i>Required Submissions for Corporate Clients:</i>  <i>SEC Certificate of Registration</i>  <i>Article of incorporation</i>  <i>By-Laws</i>  <i>BIR Certificate of Registration (Form 2303 or equivalent)</i>  <i>Latest General Information Sheet</i>  <i>Notarized Board Resolution authorizing account-opening with Coherco Securities, Inc. and listing</i>  <i>And listing officers authorized to transact on behalf of corporation.</i>  <i>One (1) government ID for each Authorized Signatory.</i></p>	

All Client types, please fill out this section

Account Type                     Cash                     Discretionary                     Margin                     Institutional

Annual Income                     Below P100,000     P100,000 – P499,999     P1,000,000 – P2,999,999     P1M & above  
(Total if Joint Accnt.)

Assets                     Below P100,000     P100,000 – P499,999     P1,000,000 – P2,999,999     P3M & above  
(Total if Join Accnt.)

Net Worth                     Below P100,000     P100,000 – P499,999     P1,000,000 – P2,999,999     P3M & above  
(Total if Joint Accnt.)

Manner of Confirmation     Delivery                     Pick-up                     Fax                     Email

Do you require a duplicate copy of confirmation to be sent to another person?  Yes                     No  
 If yes, state the Name of the Person and relationship: \_\_\_\_\_

Person/s authorized to receive confirmations/invoices on behalf of the Account Holder:

Name	
Specimen Signature	
Name	
Specimen Signature	
Name	
Specimen Signature	

Person/s authorized to receive checks on behalf of the Account Holder:

Name	
Specimen Signature	
Name	
Specimen Signature	
Name	
Specimen Signature	

**For Internal Use Only**

Account Introduced By	Signature and Date
Account Accepted/Approved By	Signature and Date
If discretionary account, person with discretionary authority	Signature and Date
PSE ID	

## TERMS

In consideration of your acting as the Brokers for the named account. I/We (as the Client) hereby consent and agree that:

- (1) The signature(s) written on this account opening form is/are correct and official signature(s) of the above named account and the same may be used for verification of the said signature(s) at all times for all purposes.
- (2) Each party to a common account is a principal, with joint and several liability and is privileged to operate same separately and independent of the other and to pledge and/or withdraw all funds, assets and securities unless otherwise specified in writing by the parties to such common account.
- (3) If the Broker, in the event of the death of either party to a common account, and in reliance upon this instrument, deal with or in manner pay, deliver to, credit or trust either party to such common account, any and all deeds and things done or suffered by or for either party to such account or in their names and on their behalf shall, as to the Broker, be binding upon the parties to such common account, their representative, assigns, heirs and successors-in-interest.
- (4) All parties to this account are over 21 years of age.
- (5) Orders for the purchase or sale of securities may be made by the Client in writing or by word of mouth, through the telephone, or through another person. The Broker is hereby authorized to rely upon and act in accordance with any and all of such orders. Any action taken by the Broker pursuant thereto shall be binding upon the Client when confirmation notice is issued and sent by the Broker.
- (6) The Broker does not warrant that any order of the Client for the purchase or sale of securities shall or can be or has been placed or executed. Any order by the Client for the purchase or sale of securities shall not be binding upon the Broker unless and until the Broker issues a confirmation notice of such purchase or sale signed by its authorized signatories. Without a written confirmation by the Broker through its authorized signatories, the Broker shall not be bound to any agreement, commitment, undertaking or option to purchase or sell securities or any other transaction for, of, or with the Client, and the Client is fully aware that the salesman, sub-agent or correspondent soliciting or referring the Client, the order or the transaction, does not have such authority to bind the Client. Neither is the salesman of correspondent authorized to represent or warrant for the Broker concerning the quality or condition of any security or of its issuer or seller, and if any such representation or warranty is made, the Broker shall not be responsible for the veracity or accuracy thereof.
- (7) It is hereby further agreed that the terms and conditions printed on this Agreement form part hereof as fully as if they were stated at length over the Client's signature(s) hereto affixed and they are therefore unconditionally agreed to.
- (8) The Specimen Card will be submitted within 4 calendar days.
- (9) All securities purchased by the client will be registered in the Broker. The client however, can instruct the broker otherwise, provided the client has paid for the said securities within three (3) trading days from the date of purchase thereof.
- (10) Any and all securities or contracts in respect thereto, nor hereafter held or carried by the Broker in any Client's account (either individually or jointly with others), are to be held by Broker as collateral for the payment of any liability to the Broker in any of said accounts, with the right on the Broker's part to transfer moneys or securities to another when in the Broker's judgment such transfer may be necessary, and all such securities may from time to time, and without notice, be pledged and repledged by the Broker, either separately or in common with other securities, for any amount due the Client's account(s), or for any greater amount, without retaining in the Broker's possession or control for delivery a like amount of similar securities.
- (11) The Broker is hereby specifically authorized to lend either separately or with other securities, to either itself or to others, any securities held by the Broker as collateral for my liabilities to Broker in my/our accounts.
- (12) Whenever in its sole discretion, the Broker considers it necessary for its own protection or in the event that a petition for bankruptcy or for the appointment of a receiver is filled by or against the Client's account(s). or in the event of the Client's death, the Client hereby specifically authorizes and empowers the Broker with the right to sell any or all securities in the Client's account(s) (whether carried individually or jointly with others) and herein pledged as collateral for the payment of any liability to the Broker in said account(s) to buy any and all securities and to cover for any short-selling in such account(s). (to transfer moneys or securities from any one of the Client's account(s) to another, and close any and all outstanding obligations or contracts, all without demand for security or additional security, notice of sale or purchase, or other notice or advertisements, it being its discretion and in case of a sale at public auction or on stock exchange, the Broker may be the purchaser for its own account. It is understood that a prior demand, notice or call of the time and the place of such sale or purchase may be made by the Broker, it being further understood that the Client shall at all times be liable for the payment of any indebtedness or unpaid balances owing in any of the Client's account(s) together with interest, other acts herein provided, and that the Client shall remain liable for any deficiency remaining in such account(s) in the event of liquidation.
- (13) For the purpose of selling, buying or performing acts pursuant to the above paragraph, the Client hereby irrevocably appoints the Broker, its successors or assigns, the Client's attorney-in-fact with full power to sell buy or act, for any account(s) (whether carried individually or jointly with others). To agree upon the price of securities, receipts, assignments of all my rights, title and interest to the purchaser(s) thereof, or such other instruments or documents as may be necessary and to deliver or accept delivery of the corresponding stock certificates and/or instruments of assignments. Furthermore, the Broker is hereby irrevocably authorized at its discretion to any time cause all or any portion of the securities held under any of the Client's account(s), (whether carried individually or jointly with others) and herein pledged, to be transferred in the name of the Broker or its nominee, and the companies issuing the said securities, all transfer agents, representatives, or other entities on whose books or records the said securities are listed, are hereby authorized to make such transfer as may be required by the Broker, without the necessity of first obtaining the Client's consent, provided, however, that in the event of such securities being transferred into the name of the Broker or its nominee such transfer, shall not be considered nor constitute an assignment or sale to the Broker. All acts and things which the Broker may do or cause to be done in accordance with the power herein conferred upon the Broker are hereby deemed ratified by the Client.
- (14) The monthly debit balance in the Client's account(s) shall be charged, in accordance with the Broker's usual custom with interest at a rate which shall include the average rate paid by the Broker on your loans during the period covered by such balances respectively, and any extra rates caused by market stringency, together with a charge to cover the Broker's credit service facilities. When not evidenced in a promissory note or other instruments of indebtedness said interest shall be charged upon daily debit balances as shown by the Broker's books, shall be payable monthly, and in event it is not paid when due, the Broker may at the Broker's discretion add the same to the principal secured thereby, whereupon it shall become part thereof and shall bear interest at the same rate.
- (15) It is agreed that in the event of any controversy or litigation arising out of this Agreement, the Broker(s) books shall be final and conclusive evidence of the amount due to you. It is further agreed that this Agreement and any controversy arising thereunder, shall be subject to the provisions of the Constitution and the Rules and Regulations of the Philippine Stock Exchange, the Securities and Exchange Commission, the Exchange Clearing House, and the Customs and Usages of the foregoing. It being understood that the said provisions, customs and usages shall be for all intents and purposes be deemed an integral part of this Agreement. Furthermore, in the event of any controversy or litigation arising out of this Agreement, the Broker shall be entitled to reasonable compensation for attorney's fees and costs of collection, which shall not in any event be less than fifteen percent (15%) of the total amount of the Client's indebtedness then outstanding to the Broker. Finally, venue of any litigation shall be in the proper Court of Makati City the parties hereby waiving any other venue.
- (16) It is agreed that all communications, whether by mail, telephone, messenger or otherwise to the Client at the address as appearing in the Broker's records shall constitute personal delivery to the Client; it being understood that in the event of the Client's failure to notify the Broker in writing to any change of address, all communications shall be directed to said last known address appearing in Broker's records. It is further agreed that all communication sent to the Client's indicated address shall be conclusive as to their correctness, in the absence of any written objection received by the Broker within twenty-four (24) hours from the time the communication was sent to said address.
- (17) This Agreement shall continue until signed notice of revocations is received by or from the Client and in the case of such revocation it shall continue to be effective as to transactions entered prior thereto.

I / We have read and agree to all terms and conditions stated above.

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Client'(s) Signature(s)